UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/588,098	12/18/2006	W. Dennis Slafer	59380-050 (MCMK-004)	4357
23630 McDermott Wil	7590 03/13/201 Il & Emery		EXAMINER	
600 13th Street,	NW	RIVERA, JOSHEL		
Washington, DC 20005-3096			ART UNIT	PAPER NUMBER
			1746	
			NOTIFICATION DATE	DELIVERY MODE
			03/13/2012	ELECTRONIC

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

mweipdocket@mwe.com

	Application No.	Applicant(s)				
Office Action Comments	10/588,098	SLAFER, W. DENI	NIS			
Office Action Summary	Examiner	Art Unit				
	JOSHEL RIVERA	1746				
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the c	orrespondence ado	lress			
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).						
Status						
1) Responsive to communication(s) filed on 23 De	ecember 2011.					
	action is non-final.					
·	An election was made by the applicant in response to a restriction requirement set forth during the interview on					
the restriction requirement and election;	·	_				
4) Since this application is in condition for allowan	·		merits is			
closed in accordance with the practice under E	x parte Quayle, 1935 C.D. 11, 45	3 O.G. 213.				
Disposition of Claims						
5)⊠ Claim(s) <u>1-6,8-13 and 15-20</u> is/are pending in t	he application.					
• • • • • • • • • • • • • • • • • • • •	5a) Of the above claim(s) is/are withdrawn from consideration.					
6) Claim(s) is/are allowed.						
7) Claim(s) <u>1-6,8-13 and 15-20</u> is/are rejected.						
8) Claim(s) 11 is/are objected to.						
9) Claim(s) are subject to restriction and/or	election requirement.					
Application Papers						
10) The specification is objected to by the Examiner.						
11) ☑ The drawing(s) filed on <u>28 July 2007</u> is/are: a)		v the Examiner.				
	Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).					
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).						
12) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.						
Priority under 35 U.S.C. § 119						
	priority under 35 H.S.C. & 119(a)	-(d) or (f)				
a) ☑ All b) ☐ Some * c) ☐ None of:	13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).					
· · · · _	1. ☐ Certified copies of the priority documents have been received.					
	application from the International Bureau (PCT Rule 17.2(a)).					
* See the attached detailed Office action for a list of the certified copies not received.						
Attachment(s)						
1) Notice of References Cited (PTO-892)  4) Interview Summary (PTO-413)  Paper No(s)/Mail Date						
2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  3) Information Disclosure Statement(s) (PTO/SB/08)  Paper No(s)/Mail Date  Notice of Informal Patent Application						
Paper No(s)/Mail Date	6) Other:					

Art Unit: 1746

### **DETAILED ACTION**

#### Claim Objections

1. Claim 11 is objected to because of the following informalities: claim 11 states the method steps for manufacturing pre-formatted linear optical data storage where a step of applying an optical recordable layer covering the pattern of optically readable embossments of the elongated linear polymer layer is performed before hardening the embossments and removing the elongated polymer layer with the optical recordable layer from the drum. Since during the embossment and application of radiation the polymer is attached to the drum it is not possible to add an optical recordable layer on the embossments without removing the polymer from the drum. Appropriate correction is required.

#### Claim Rejections - 35 USC § 103

2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Art Unit: 1746

3. The factual inquiries set forth in *Graham* v. *John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

- 1. Determining the scope and contents of the prior art.
- 2. Ascertaining the differences between the prior art and the claims at issue.
- 3. Resolving the level of ordinary skill in the pertinent art.
- 4. Considering objective evidence present in the application indicating obviousness or nonobviousness.
- 4. Claims 1, 2, 4 6, 9, 10 and 20 are rejected under 35 U.S.C. 103(a) as being unpatentable over Coyle et al (US 2003/0108710) in view of Watkins (US Patent 4,790,893).
- bearing patterned microstructures like optical film, information carrying substrate for optical recording media, CDs, DVDs or DVD-RWs (Abstract, paragraph 16 and paragraph 40), suggesting that the optical media comprises an optical recordable layer, that comprises a drum configured to receive an elongated linear polymer layer and for rotation about a rotation axis, and including a circumferential outer surface and a predetermined pattern of protrusions for embossing at least one pattern of optically readable embossments in the polymer rolled on the drum (Figure 1 item 26; paragraphs 13 and 14) and a radiation source configured to cause the pattern of optically readable embossments of the elongated linear polymer layer to solidify prior to the embossments being removed from the protrusions of the outer surface of the drum, where the radiation source is a UV light source (Figure 1 item 42; paragraphs 21 and 22).

Art Unit: 1746

6. Coyle teaches that the amount of force required to manufacture the optical media depends on the thickness of the coating and presents an example where the thickness of the substrate is of 5 mils or 127 microns (paragraph 19).

- 7. Coyle fails to explicitly disclose that the apparatus is capable of manufacturing optical media with a thickness between about 4 microns to about 100 microns and that the apparatus comprises one or more deposition sources configured to apply the optical recordable layer covering the pattern of optically readable embossments of the elongated linear polymer layer.
- 8. Watkins teaches an apparatus for manufacturing optical media (Abstract) where the thickness of the elongated linear polymer layer is between 0.1 mils to 20 mils or about 2.54 microns to about 508 microns (column 3 lines 26 31) and it comprises a deposition source for metallic disposition (Figure 1 item 22; column 6 lines 37 47) and would be capable of depositing an optical recordable layer.
- 9. It would have been obvious to one of ordinary skills in the art at the time of the invention to have used Watkins's deposition source in Coyle's apparatus and have the apparatus be capable of processing elongated linear polymer layers with a thickness between 4 microns and 100 microns, as suggested by Watkins. The rationale of having a thickness of about 4 microns to about 100 microns would have been that, as stated by Watkins, the thickness of 2.54 microns to 508 microns is preferred depending upon the nature of the image to be reproduced and the intended use of the replicates (column 3 lines 26 31). The rationale to use a deposition source would have been that, as stated by Watkins, in order to produce a multiplicity of information carriers the web undergoes

Art Unit: 1746

a step of metallic deposition where a thin coat of metal is deposited so as to conform to the imaged surface on the web (column 6 lines 37 – 47).

- 10. With regards to claims 2 and 4, the teachings of Coyle and Watkins are presented above. Additionally Coyle teaches that the system comprises a dispenser for dispensing a liquid between the outer surface of the drum and an elongated linear polymer layer rolled on the drum (Figure 1 the unit from where liquid item 18 is being poured from; paragraph 18), where the liquid is a polymeric material that can be hardened by radiation, the embossments are made in the liquid polymeric material and the radiation source provides radiation of the predetermined wavelength (paragraphs 18 and 21).
- 11. With regards to claim 5, the teachings of Coyle and Watkins are presented above. Additionally Coyle illustrates that the system comprises backing rollers pressing the elongated linear polymer layer against the drum (Figure 1 items 24 and 30).
- 12. With regards to claim 6, the teachings of Coyle and Watkins are presented above. Additionally Watkins states that the deposition is performed in vacuum where the web is received in the vacuum chamber to deposit the layer into the web (column 6 lines 48-65).
- 13. With regards to claim 9, the teachings of Coyle and Watkins are presented above. Additionally Coyle states that the patterned microstructures on the drum comprise tips and grooves (paragraphs 14 and 15) which would be considered ridges and bosses.

pre-recorded analog information.

Art Unit: 1746

14. With regards to claim 10, the teachings of Coyle and Watkins are presented above. Additionally Coyle states that information carrying discs such as CDs and DVDs require microstructures in the form of spiral track of pits or grooves formed into one surface of a plastic disc or substrate and the information to be stored is encoded in the track of pits or grooves (paragraph 3), where one of ordinary skills in the art at the time of the invention would appreciate that the information would intrinsically include header information, servo and error correction information, pre-recorded digital information and

- 15. With regards to claim 20, the teachings of Coyle and Watkins are presented above. Coyle teaches that the polymeric liquid can also include various additives and fillers like UV stabilizer, silicas and solvents (paragraph 35). It would have been obvious to one of ordinary skills in the art at the time of the invention to have added a dye for embedding the optical recordable layer into the polymer layer simultaneous with the embossing. The rationale being that, since Coyle states that the liquid in the dispenser may contain additives and fillers like solvents (paragraph 35), one of ordinary skills in the art would appreciate that using a dye for embedding optical recordable layer into the polymer layer would reduce production time.
- 16. Claim 3 is rejected under 35 U.S.C. 103(a) as being unpatentable over Coyle et al (US 2003/0108710) in view of Watkins (US Patent 4,790,893) as applied to claims 1, 2, 4 6, 9 and 10 above, and further in view of Norden (WO 97/14142).

Art Unit: 1746

- 17. With regards to claim 3, the teachings of Coyle and Watkins are presented above. Coyle teaches that the polymeric liquid can also include various additives and fillers (paragraph 35). Coyle and Watkins fail to explicitly disclose using a chemical to soften the surface of the polymer layer.
- 18. Norden teaches a method of manufacturing an optical device (Abstract) where in an embodiment a chemical to soften the polymer layer is used prior embossing and then using heat in order to remove the softening chemical after embossing (column 5 lines 25 31).
- 19. It would have been obvious to one of ordinary skills in the art at the time of the invention to use a softening chemical on the surface of the polymer layer, as suggested by Norden, in Coyle and Watkins's apparatus. The rationale being that one of ordinary skills in the art would appreciate that in order to create an impression on a hard plastic surface would require large amount of force and energy, where using a chemical to soften the surface prior embossing saves money and time by reducing the amount of force required.
- 20. Claim 8 is rejected under 35 U.S.C. 103(a) as being unpatentable over Coyle et al (US 2003/0108710) in view of Watkins (US Patent 4,790,893) as applied to claims 1, 2, 4 6, 9 and 10 above, and further in view of Shikichi (US Patent 5,475,660).
- 21. With regards to claim 8, the teachings of Coyle and Watkins are presented above. Coyle and Watkins fail to explicitly disclose that the apparatus comprises an optical head array adapted to write recording marks in the optical recordable layer over

Art Unit: 1746

Page 8

the pattern of optically readable embossments and where the optical head array include auto-focus and servo-tracking functionality.

- 22. Shikichi teaches an optical information recording-reproducing apparatus (Abstract) used for recording information into optical media with auto-focus and servo-tracking or auto-tracking functionality (column 1 lines 11 21 and 24 60). It would have been obvious to one of ordinary skills in the art at the time of the invention to have used an optical head array to write recording marks in the optical recordable layer, the head array comprises auto-focus and servo-tracking functionality, as suggested by Shikichi, in Coyle and Watkins's system. The rationale being that, as stated by Shikichi, it is a common method used for recording information in optical media and auto-tracking and auto-focus functionality are required in order to control the irradiation position of the light spot on the optical media and to apply a light beam as a stable minute spot irrespective of warps of the optical media and mechanical errors (column 1 lines 24 60).
- 23. Claims 11 13, 15 and 17 19 are rejected under 35 U.S.C. 103(a) as being unpatentable over Coyle et al (US 2003/0108710) in view of Watkins (US Patent 4,790,893), Pan et al (US Patent 5,077,181) and Norden (WO 97/14142).
- 24. With regards to claim 11 Coyle teaches method for manufacturing articles bearing patterned microstructures like optical film, information carrying substrate for optical recording media, CDs, DVDs or DVD-RWs (Abstract, paragraph 16 and paragraph 40), suggesting that the optical media comprises an optical recordable layer,

Art Unit: 1746

that comprises providing a drum configured to receive an elongated linear polymer layer and for rotation about a rotation axis, and including a circumferential outer surface and a predetermined pattern of protrusions for embossing at least one pattern of optically readable embossments in the polymer rolled on the drum (Figure 1 item 26; paragraphs 13 and 14) and providing a radiation source configured to cause the pattern of optically readable embossments of the elongated linear polymer layer to solidify prior to the embossments being removed from the protrusions of the outer surface of the drum, where the radiation source is a UV light source (Figure 1 item 42; paragraphs 21 and 22). Coyle teaches that the amount of force required to manufacture the optical media depends on the thickness of the coating and presents an example where the thickness of the substrate is of 5 mils or 127 microns (paragraph 19).

- 25. Coyle fails to explicitly disclose manufacturing optical media with a thickness between about 4 microns to about 100 microns and applying the optical recordable layer covering the pattern of optically readable embossments of the elongated linear polymer layer.
- 26. Watkins teaches an apparatus for manufacturing optical media (Abstract) where the thickness of the elongated linear polymer layer is between 0.1 mils to 20 mils or about 2.54 microns to about 508 microns (column 3 lines 26 31) and it comprises a deposition source for metallic disposition (Figure 1 item 22; column 6 lines 37 47) and would be capable of depositing an optical recordable layer. Watkins additionally states that the metallic particles are deposited on the uncured coating (Abstract).

Art Unit: 1746

27. It would have been obvious to one of ordinary skills in the art at the time of the invention to have used Watkins's deposition source in Coyle's apparatus and have the apparatus be capable of processing elongated linear polymer layers with a thickness between 4 microns and 100 microns, as suggested by Watkins. The rationale of having a thickness of about 4 microns to about 100 microns would have been that, as stated by Watkins, the thickness of 2.54 microns to 508 microns is preferred depending upon the nature of the image to be reproduced and the intended use of the replicates (column 3 lines 26 - 31). The rationale to use a deposition source would have been that, as stated by Watkins, in order to produce a multiplicity of information carriers the web undergoes a step of metallic deposition where a thin coat of metal is deposited so as to conform to the imaged surface on the web (column 6 lines 37 - 47).

- 28. Watkins's deposition source deposits a metallic coating like aluminum. Coyle and Watkins fail to explicitly disclose using a material that would be capable of being used in a DVD-RW device as an optical recordable layer.
- 29. Pan teaches using antimony-tin alloys as an optically recordable layer (Abstract). It would have been obvious to one of ordinary skills in the art at the time of the invention to have used antimony-tin alloys in Coyle and Watkins's method. The rationale being that, as stated by Pan, antimony-tin alloys are well known to have been used as erasable optical recording layers (column 1 lines 33 67).
- 30. Coyle teaches that the polymeric liquid can also include various additives and fillers (paragraph 35). Coyle, Watkins and Pan fail to explicitly disclose using a chemical to soften the surface of the polymer layer.

Art Unit: 1746

31. Norden teaches a method of manufacturing an optical device (Abstract) where in an embodiment a chemical to soften the polymer layer is used prior embossing and then using heat in order to remove the softening chemical after embossing (column 5 lines 25 – 31).

- 32. It would have been obvious to one of ordinary skills in the art at the time of the invention to use a softening chemical on the surface of the polymer layer, as suggested by Norden, in Coyle, Pan and Watkins's method. The rationale being that one of ordinary skills in the art would appreciate that in order to create an impression on a hard plastic surface would require large amount of force and energy, where using a chemical to soften the surface prior embossing saves money and time by reducing the amount of force required.
- 33. With regards to claim 12, the teachings of Coyle, Watkins, Pan and Norden are presented above. Additionally Norden teaches an embodiment where a chemical to soften the surface of the polymer layer is used prior embossment (column 5 lines 25 29).
- 34. It would have been obvious to one of ordinary skills in the art at the time of the invention to use a softening chemical on the surface of the polymer layer, as suggested by Norden, in Coyle, Pan and Watkins's method. The rationale being that one of ordinary skills in the art would appreciate that in order to create an impression on a hard plastic surface would require large amount of force and energy, where using a chemical to soften the surface prior embossing saves money and time by reducing the amount of force required.

Art Unit: 1746

35. With regards to claim 13, the teachings of Coyle, Watkins, Pan and Norden are presented above. Additionally Coyle teaches that the system comprises a dispenser for dispensing a liquid between the outer surface of the drum and an elongated linear polymer layer rolled on the drum (Figure 1 the unit from where liquid item 18 is being poured from; paragraph 18), where the liquid is a polymeric material that can be hardened by radiation, the embossments are made in the liquid polymeric material and the radiation source provides radiation of the predetermined wavelength (paragraphs 18 and 21).

- 36. With regards to claim 15, the teachings of Coyle, Watkins, Pan and Norden are presented above. Additionally Coyle states that information carrying discs such as CDs and DVDs require microstructures in the form of spiral track of pits or grooves formed into one surface of a plastic disc or substrate and the information to be stored is encoded in the track of pits or grooves (Coyle: paragraph 3) while Norden teaches that the reflection layer has localized level variations (Norden: column 7 lines 19 20), which can be achieved by endowing the registration layer with a pattern of pits or bumps what can be represent "0" and "1" (Norden: column 7 lines 31 34, column 8 lines 1 6).
- 37. With regards to claim 17, the teachings of Coyle, Watkins, Pan and Norden are presented above. Additionally Coyle states that the patterned microstructures on the drum comprise tips and grooves (Coyle: paragraphs 14 and 15) while Norden teaches that the embossed forms are usually pits of constant width but variable length (Norden: column 4 lines 14 15, lines 18 23), which would intrinsically comprise of lands and

Art Unit: 1746

grooves, and these marks are in an helical path (Norden: column 4 lines 18 – 23) which would be wobbled.

- 38. With regards to claim 18, the teachings of Coyle, Watkins, Pan and Norden are presented above. Additionally Coyle states that information carrying discs such as CDs and DVDs require microstructures in the form of spiral track of pits or grooves formed into one surface of a plastic disc or substrate and the information to be stored is encoded in the track of pits or grooves (paragraph 3), where one of ordinary skills in the art at the time of the invention would appreciate that the information would intrinsically include header information, servo and error correction information, pre-recorded digital information and pre-recorded analog information.
- 39. With regards to claim 19, the teachings of Coyle, Watkins, Pan and Norden are presented above. Additionally Norden teaches that a registration layer is provided on the substrate and that the embossing is done under pressure (column 3 lines 21 24). Intrinsically if the embossing is done under pressure on the registration layer, the layer would be embedded into the polymer layer as the embossments are created.
- 40. It would have been obvious to one of ordinary skills in the art at the time of the invention to have the optical recordable layer be embedded into the polymer layer simultaneous with the embossment, as suggested by Norden, in Coyle, Watkins and Pan's method. The rationale being that, as stated by Norden, it forms a pattern of low and high surfacial regions representing a collection of binary data (column 3 lines 21 24).

Art Unit: 1746

41. Claim 16 is rejected under 35 U.S.C. 103(a) as being unpatentable over Coyle et al (US 2003/0108710) in view of Watkins (US Patent 4,790,893), Pan et al (US Patent 5,077,181) and Norden (WO 97/14142) as evidenced by Rosen et al (US Patent 5,627,817).

- 42. With regards to claim 16, the teachings of Coyle, Watkins, Pan and Norden are presented above. Additionally Norden teaches using a dielectric layer (Norden: column 7 lines 9 10), a reflection layer (Norden: column 7 lines 27 28) and the use of squarylium dye (Norden: column 7 lines 1 4) that, as stated by Rosen, it's functionally equivalent to a phase change layer (Rosen: column 1 lines 21 40).
- 43. It would be obvious to one of ordinary skills in the art at the time of the invention to have used a dielectric layer, reflection layer and phase change layer, as suggested by Norden, in Coyle, Watkins and Pan's method .The rationale being that one of ordinary skills in the art would appreciate that by applying these layers the properties of the optical medium would be enhanced.

## Response to Arguments

- 44. Applicant's arguments filed January 20, 2012 have been fully considered but they are not persuasive.
- 45. With regards to the objection to claim 11, Applicant states that in order to overcome the objection has amended the claim to clarify that the linear polymer layer is removed along with the optical recording layer after hardening. The Examiner

Art Unit: 1746

respectfully disagrees that this amendment clarifies the objection. The objection was with regards to the order of steps since the method requires embossing the linear polymer layer, then applying an optical recordable layer, then hardening the components and finally, after hardening, removing the components from the drum. Since the linear polymer layer is attached to the drum in order to emboss the surface of the polymer, the Examiner fails to see how would it be possible to apply "an optical recordable layer covering the pattern of optically readable embossments of the elongated linear polymer layer" as required by the claim if the embossments are covered by the drum.

- 46. With regards to the rejection of claim 1, Applicant states that the art of Coyle and Watkins fail to teach all the limitations present in the claim. Applicant begins by pointing out that claim 1 requires one or more deposition sources and emphasizes that the deposition sources have to be configures to apply an optical recordable layer covering the pattern of optically readable embossments of the elongated linear polymer layer. The Examiner wishes to point out that claim 1 is directed to an apparatus claim and apparatus claims are examined in view of their structure not their function.
- 47. While features of an apparatus may be recited either structurally or functionally, claims directed to an apparatus must be distinguished from the prior art in terms of structure rather than function. In re Schreiber, 128 F.3d 1473, 1477-78, 44 USPQ2d 1429, 1431-32 (Fed. Cir. 1997) (The absence of a disclosure in a prior art reference relating to function did not defeat the Board's finding of anticipation of claimed apparatus because the limitations at issue were found to be inherent in the prior art

Page 16

Art Unit: 1746

reference); see also In re Swinehart, 439 F.2d 210, 212-13, 169 USPQ 226, 228-29 (CCPA 1971); In re Danly, 263 F.2d 844, 847, 120 USPQ 528, 531 (CCPA 1959). "[A]pparatus claims cover what a device is, not what a device does." Hewlett-Packard Co. v. Bausch & Lomb Inc., 909 F.2d 1464, 1469, 15 USPQ2d 1525, 1528 (Fed. Cir. 1990) (emphasis in original). A claim containing a "recitation with respect to the manner in which a claimed apparatus is intended to be employed does not differentiate the claimed apparatus from a prior art apparatus" if the prior art apparatus teaches all the structural limitations of the claim. Ex parte Masham, 2 USPQ2d 1647 (Bd. Pat. App. & Inter. 1987) (The preamble of claim 1 recited that the apparatus was "for mixing flowing developer material" and the body of the claim recited "means for mixing ..., said mixing means being stationary and completely submerged in the developer material". The claim was rejected over a reference which taught all the structural limitations of the claim for the intended use of mixing flowing developer. However, the mixer was only partially submerged in the developer material. The Board held that the amount of submersion is immaterial to the structure of the mixer and thus the claim was properly rejected.).

48. Applicant further argues that Coyle discloses a method for producing articles bearing patterned microstructures and that is concerned only about the substrate and not the actual functioning optical media. Applicant states that Coyle does not disclose or suggest how to make a recordable or erasable or even read only media just addresses the well-known method of embossing optical data patterns. Applicant also states that Watkins discloses a process for producing an information carrier containing recorded

Page 17

Art Unit: 1746

limitations not present in Coyle.

audio and/or video information and that is essentially a method for replicating CD's and that Watkins does not teach elongated tape but using thermoplastic material in the form of a web for molding against a tool. Applicant further states that the claimed invention is an improvement over the technology of Watkins since does not requires thermal cycling.

49. In response to applicant's arguments against the references individually, one cannot show nonobviousness by attacking references individually where the rejections are based on combinations of references. See *In re Keller*, 642 F.2d 413, 208

USPQ 871 (CCPA 1981); *In re Merck & Co.*, 800 F.2d 1091, 231 USPQ 375 (Fed. Cir. 1986). The Examiner agrees that the art of Coyle fails to teach all the limitations in the present claims. That is why the Examiner relies on the art of Watkins to teach the

- 50. With regards to the art of Coyle not disclosing or suggesting how to make a recordable, erasable or even read-only media, this argument is not irrelevant given that 1) claim 1 is directed to an apparatus claim not a method claim, and 2) claim 1 only states that the system is for manufacturing thin tape linear optical data storage media including an elongated linear polymer layer, not for making recordable, erasable or read-only media.
- 51. With regards to the art of Watkins not teaching elongated media but uses thermoplastic material in the form of a web for molding against a pattern, this argument is found to be contradictory. As stated above claim 1 states that is used for manufacturing thin tape linear optical data storage media including an elongated linear polymer layer. Since Watkins teaches producing an information carrier containing

Art Unit: 1746

recorded audio and/or video information and uses thermoplastic material in the form of a web for molding against a pattern, as pointed out by the Applicant, the Examiner fails to see how it would not teach elongated media. Additionally Applicant's statement that the claimed invention is an improvement over the art of Watkins since it does not requires thermal cycling is irrelevant since the limitations in the claim language are not exclusionary and allows for additional implementations and because Watkins is not used to teach the system but to teach that using a deposition source would have been obvious with the system of Coyle.

- 52. With regards to claim 11, the Examiner again wishes to point out that one cannot show nonobviousness by attacking references individually where the rejections are based on combinations of references. See *In re Keller*, 642 F.2d 413, 208 USPQ 871 (CCPA 1981); *In re Merck & Co.*, 800 F.2d 1091, 231 USPQ 375 (Fed. Cir. 1986). The Examiner agrees that for this claim Coyle and Watkins does not teach all the limitations and that is why the rejection relies on Norden to teach softening the substrate and Pan to teach adding an optical recording layer.
- 53. Applicant states that the arts of Shikichi and Rosen do not cure the deficiencies of Coyle and Watkins for claims 1 and 11. The Examiner agrees and that is why these arts are not relied upon for the rejection of claims 1 and 11. As shown above claim 1 is already taught by the combination of Coyle and Watkins while for claim 11 is already taught by the combination of Coyle, Watkins, Pan and Norden.

#### Conclusion

THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to JOSHEL RIVERA whose telephone number is (571)270-7655. The examiner can normally be reached on Monday - Thursday 7:30am - 6:00pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Katarzyna Wyrozebski can be reached on (571) 272-1127. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 1746

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/J. R./ Examiner, Art Unit 1746

/KAT WYROZEBSKI/

Supervisory Patent Examiner, Art Unit 1746